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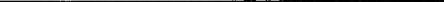
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/788,300
				Filing Date	02/16/2001
				First Named Inventor	Vahala
				Art Unit	2877
				Examiner Name	Michael Mooney
Sheet	1	of	3	Attorney Docket Number	ALG08NP

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 09/440,311	filed 11-12-1999	Vahala et al	
		US- 09/454,719	filed 12-07-1999	Vahala et al	
		US- 09/733,357	filed 12-07-2000	Yariv	
		US- 09/802,442	filed 03-09-2001	Painter et al	
		US- 60/183,499	filed 02-17-2000	Sercel et al	
		US- 60/226,147	filed 08-18-2000	Sercel et al	
		US- 60/257,248	filed 12-21-2000	Painter et al	
		US- 09/788,331	filed 02-16-2001	Sercel et al	
		US- 09/788,303	filed 02-16-2001	Sercel et al	
		US- 09/788,301	filed 02-16-2001	Sercel et al	
		US- 4,768,849	iss 09-06-1988	Hicks	
		US- 5,138,676	iss 08-11-1992	Stowe et al	
		US- 5,281,247	iss 01-25-1994	Haruhiko et al	
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		US- 6,052,495	iss 04-18-2000	Little et al	
		US- 6,101,300	iss 08-08-2000	Fan et al	

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	4/7/03
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Sheet 2 of 3

<i><b>Complete if Known</b></i>	
<b>Application Number</b>	09/788,300
<b>Filing Date</b>	02/16/2001
<b>First Named Inventor</b>	Vahala
<b>Art Unit</b>	2877
<b>Examiner Name</b>	Michael Mooney
<b>Attorney Docket Number</b>	ALG08NP

## **U.S. PATENT DOCUMENTS**

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**INFORMATION DISCLOSURE  
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Sheet 3 of 3

**Completion if Known**

Application Number	09/788,300
Filing Date	02/16/2001
First Named Inventor	Vahala
Group Art Unit	2877
Examiner Name	Michael Mooney
Attorney Docket Number	ALG08NP

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>MVH</i>		J. C. Knight, G. Cheung, F. Jacques, and T. A. Birks, "Phased-matched excitation of whispering gallery-mode resonances by a fiber taper", Optics Letters Vol. 22 1129 (1997)
		N. Dubreuil, J. C. Knight, D. K. Leventhal, V. Sandoghdar, J. Hare, and V. Lefevre, "Eroded monomode optical fiber for whispering-gallery mode excitation in fused-silica microspheres", Optics Lett. 20 813 (1995)
		M. Kuwata-Gonokami, R. H. Jordan, A. Dodabalapur, H. E. Katz, M. L. Schilling, R. E. Slusher, and S. Ozawa, "Polymer microdisk and microring lasers", Optics Letters Vol 20(20) 2903 (1995)
		Y. Kawabe, Ch. Spiegelberg, A. Schulgen, M. F. Nabor, B. Kippelen, E. A. Mash, P. M. Allemand, M. Kuwata-Gonokami, K. Takeda, and N. Peyghambarian, "Whispering-gallery-mode microring laser using a conjugated polymer", Applied Physics Letters Vol 72(2) 141 (1998)
		Ming Cai, Guido Hunziker, and Kerry Vahala, "Fiber-optic add-drop device based on a silica microsphere whispering gallery mode system", IEEE Photonics Technology Letters Vol. 11 686 (1999)
		Ming Cai, Oskar Painter, and Kerry J. Vahala, "Observation of critical coupling in a fiber taper to a silica-microsphere whispering-gallery mode system", Physical Review Letters, Vol. 85(1) 74 (2000)
		B.A. Little, S.T. Chu, H. A. Haus, J. Foresi, and J.-P. Laine, "Microring channel dropping filters", J. Lightwave Technology Vol. 15 998 (1997)
		Giora Griffel, "Synthesis of optical filters using ring resonator arrays", IEEE Photonics Technology Letts. Vol. 12 810 (2000)
		S. V. Frolov, Z. V. Vardeny, and K. Yoshino, "Plastic microring lasers on fibers and wires", Applied Physics Letters Vol 72(15) 1802 (1998)
<i>MVH</i>		S. V. Frolov, A. Fujii, D. Chinn, Z. V. Vardeny, K. Yoshino, and R. V. Gregory, "Cylindrical microlasers and light emitting devices from conducting polymers", Applied Physics Letters, Vol 72(22) 2811 (1998)

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